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Customer Number

Patent
Case No.: 59333US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: SCHULTZ, JOHN C.

Application No.: 10/727220

Confirmation No.: 5391

Filed: December 2, 2003

Title: ILLUMINATION ASSEMBLY

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

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September 18, 2007

Date

Andrea Newhouse
Signed by: Andrea Newhouse

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311.

A fee under 37 CFR § 1.17(p) is required.

Fees

- ☐ It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.
- ☒ The fee required under 37 CFR § 1.17(p) will be paid at the time of EFS-Web submission. In the event fees are not or cannot be paid at the time of EFS-Web submission, please charge any fees under 37 CFR § 1.17 which may be required to Deposit Account No. 13-3723.
- ☐ Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Copies of Documents

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Respectfully submitted,

Date

September 10, 2007

By

J. R. Pralle

Jay R. Pralle, Reg. No.: 52,131

Telephone No.: 651-733-6750

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 1 of 1	Application Number	10/727220
	Filing Date	December 2, 2003
	First Named Inventor	Schultz, John C.
	Confirmation Number	5391
	Attorney Case Number	59333US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 4,254,453	03/03/1981	Mouyard	
	A2	US- 4,386,824	06/07/1983	Draper	
	A3	US- 5,810,469	09/22/1998	Weinrich	
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	A5	US- 6,318,886	11/20/2001	Stopa et al	
	A6	US- 6,954,565	10/11/2005	Lindt	
	A7	US- 2001/0009510	07/26/2001	Lodhie	
	A8	US- 2006/0044531	03/02/2006	Potekev	
	A9	US-			
	A10	US-			
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